

02-14-02

A

UTILITY PATENT APPLICATION TRANSMITTAL
(for Noncontinuing, Nonprovisional Applications
under 37 C.F.R. §1.53(b))

Attorney Docket No. 72978

10/07/02
10/07/02
02/13/02

Box PATENT APPLICATION
Commissioner of Patents and Trademarks
ATTENTION: Assistant Commissioner for Patents
Washington, D.C. 20231

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D.C. 20231.

JOHNNY HAYNES
(Typed or printed name of person mailing)

Johnny Haynes
(Signature of person mailing)

Sir:

Transmitted herewith for filing under 37 C.F.R.
§1.53(b) is the nonprovisional, noncontinuing
patent application for:

Title: A Bi-Directional Dual Promoter Complex
with Enhanced Promoter Activity for
Transgene Expression in Eukaryotes

First Named Inventor or Application Identifier:
Li et al.

- ☒ 37 pages of the specification (including claims) are enclosed.
- ☒ 32 sheet(s) of drawings are enclosed. ☐ Formal ☒ Informal - FIGS. 1-27.
- ☐ An executed Oath or Declaration and Power of Attorney naming the actual inventors is enclosed.
- ☒ The names of persons believed to be the actual inventors are set forth in the enclosed unexecuted Oath or Declaration and Power of Attorney (§1.41(a) and §1.53(b)).
- ☐ An Assignment of the invention to _____, and cover sheet are enclosed.
- ☐ A check in the amount of \$ _____ to cover the fee for recording the assignment is enclosed.
- ☐ The Recordation Form Cover Sheet includes authorization to charge our Deposit Account for recording the assignment.
- ☐ A 37 C.F.R. §3.73(b) statement is enclosed where an assignee seeks to take action.
- ☐ An Information Disclosure Statement is enclosed.
- ☐ A Form PTO-1449 is enclosed.
- ☐ _____ References (copies) listed on the Form PTO-1449 are enclosed.
- ☒ A Return Receipt Postcard is enclosed (MPEP §503).

- ☒ Priority of application number 60/268,358 filed on 02/13/01 in U.S.A. is claimed under 35 U.S.C. §119.
- ☐ A certified copy of the priority document is enclosed.
- ☐ A Computer Program Listing Appendix is enclosed.
- ☐ A Transmittal Cover Letter for Computer Program Listing Appendix is enclosed.
- ☐ Two (2) Compact Discs are enclosed.
- ☐ A Nucleotide and/or Amino Acid Sequence Submission is enclosed.
- ☐ A Computer Readable Copy is enclosed.
- ☐ A Paper Copy (Identical to Computer Copy) is enclosed.
- ☐ A Statement Verifying Identity of above Copies is enclosed.
- ☒ The filing fee is calculated below:

Fee Calculation For Claims As Filed

| | | | | | | | |
|-----------------------------------|-----------|---|-----------|---|----------|------|-------------------|
| Basic Fee | | | | | | \$ | 740.00 |
| Independent Claims | <u>7</u> | - | <u>3</u> | = | <u>4</u> | x \$ | 84.00 = \$ 336.00 |
| Total Claims | <u>23</u> | - | <u>20</u> | = | <u>3</u> | x \$ | 18.00 = \$ 54.00 |
| Fee for Multiply Dependent Claims | | | | | | \$ | 280.00 |
| | | | | | | \$ | 0.00 |
| Total Filing Fee | | | | | | | DEFER |

- ☐ Applicant(s) assert entitlement to Small Entity Status, reducing the Filing Fee by half to: _____ \$
- ☐ A check in the amount of \$_____ to cover the filing fee is enclosed.
- ☐ Charge \$_____ to Deposit Account No. 06-1135.
- ☒ The payment of the Filing Fee is to be deferred until the Declaration is filed. Do not charge our Deposit Account.
- ☒ A separate written request under 37 C.F.R. §1.136(a)(3), which is a general authorization to treat any concurrent or future reply requiring a petition for an extension of time under 37 C.F.R. §1.136(a) for its timely submission as incorporating a petition for an extension of time for the appropriate length of time, is enclosed.

☒ The Commissioner is hereby authorized to charge any additional fees which may be required in this application under 37 C.F.R. §§1.16-1.17 during its entire pendency, or credit any overpayment, to Deposit Account No. 06-1135. Should no proper payment be enclosed herewith, the Commissioner is authorized to charge the unpaid amount to Deposit Account No. 06-1135. This sheet is filed in triplicate.

☐ Also enclosed:

☒ Address all future communications to Customer Number 22242.



02/13/02

Date

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